

Form ACF-202 – TANF Caseload Reduction Report

Date of Completion December 20, 2012

State: Washington

Fiscal Year to which credit applies: **2013**

Overall Report ☒ (check one)
Two-parent Report ☐

Apply the overall credit to the two-parent participation rate? ☒ yes
☐ no

PART 1 –Eligibility Changes Made Since FY 2005

(Complete this section for EACH change)

1. Name of eligibility change: Full-Family Sanctions
2. Implementation date of eligibility change: 09/01/2006
3. Description of policy, including the change from prior policy: Families in which the head of household refuses to engage in work participation activities are terminated after six months of noncompliance. During the six month period, the grant is reduced by the parent's share or 40%, whichever is greater. Prior to this change, there was no six month limit on being in sanction. This policy was implemented effective 09/01/2006. The first month in which families were terminated was February 2007 (terminations were effective 02/28/2007). Effective 07/01/2009, the sanction policy is changed to require full family sanction after 4 consecutive months of sanction. As a result, there were no cases sanctioned from July 1 through September 30, 2010.
4. Description of the methodology used to calculate the estimated impact of this eligibility change (attach supporting materials to this form): Each month, a list of cases terminated using the Sanction Reason Code 252 were reviewed to determine if the case had at least a one-month break in service after the case was closed. This identified any cases that were reinstated. The result was a list of cases that closed due to sanction and which were not reinstated the following month.
5. Estimated average monthly impact of this eligibility change on caseload in comparison year: -234

TANF Adult Cases Terminated Due to Non Compliance Sanction in FFY 2012

Source: ESA-EMAPS and Sanction Review Panel using the ACES Data Warehouse as of December 2012

	Total Assistance Units Terminated Due to NCS	# of One Adult Cases Terminated Due to NCS	% of Total That Are One Adult Cases Terminated Due to NCS	# of Two Adult Cases Terminated Due to NCS	% of Total That Are Two Adult Cases Terminated Due to NCS
Oct-11	297	234	78.8%	63	21.2%
Nov-11	323	253	78.3%	70	21.7%
Dec-11	292	231	79.1%	61	20.9%
Jan-12	278	223	80.2%	55	19.8%
Feb-12	298	218	73.2%	80	26.8%
Mar-12	336	254	75.6%	82	24.4%
Apr-12	341	263	77.1%	78	22.9%
May-12	281	211	75.1%	70	24.9%
Jun-12	295	221	74.9%	74	25.1%
Jul-12	285	217	76.1%	68	23.9%
Aug-12	308	239	77.6%	69	22.4%
Sep-12	328	242	73.8%	86	26.2%
Monthly Avg	305	234	76.6%	71	23.4%
Annual Total	3,662	2,806	76.6%	856	23.4%

PART 1 –Eligibility Changes Made Since FY 2005

(Complete this section for EACH change)

1. Name of eligibility change: Child-Only Means Testing
2. Implementation date of eligibility change: 11/01/2011
3. Description of policy, including the change from prior policy: The rules for determining eligibility for a non-parental “child-only” TANF/SFA cash grant requires means testing non-parental child-only grants by counting the income for all household members in addition to the recipient child’s income to determine eligibility.
 - a. Means testing applies to kinship or legal guardian child-only cases.
 - b. Households with income:
 - i. At or below 200% of FPL receive a grant based on the payment standard for TANF/SFA families.
 - ii. Between 200% and 300% of FPL receive a grant based on reduced payment standards:

FPL	Payment Standard
201% thru 225% of FPL	80% of payment standard
226% thru 250% of FPL	60% of payment standard
251% thru 275% of FPL	40% of payment standard
276% thru 300% of FPL	20% of payment standard

- iii. Over 300% FPL are not eligible for a child-only cash grant.
 - c. Requires SSN for anyone in the household when needed to verify income.
 - d. Children who have been placed by a state or tribal child welfare agency and have an **open** child welfare case are **not** be subject to means testing.
 - e. The means testing assistance unit (household) includes the person applying on the child’s behalf, any dependents of the caregiver, and other persons who are financially responsible for the caregiver or the TANF child. For example: the caregiver/relative, caregiver’s spouse, caregiver’s children, and TANF/SFA recipient child(ren).
 - f. There is no resource test for non-parental child-only caretaker relative households.
 - g. Budgeting of the recipient child(ren)’s income and resources against the payment standard will remain the same but will occur only after the household means test has been applied.
 - h. Means testing doesn’t change medical, food and child care eligibility.
4. Description of the methodology used to calculate the estimated impact of this eligibility change (attach supporting materials to this form): Each month, a list of cases terminated is reviewed to determine if the case had at least a one-month break in service after the case was closed. This identified any cases that were reinstated. The result was a list of cases that closed due to means testing and which were not reinstated the following month.
5. Estimated average monthly impact of this eligibility change on caseload in comparison year: -21

TANF Child-Only Cases Terminated Due to Means Testing of the Non-Parental Adult in FFY 2012

Source: ESA-EMAPS Annual Report using the ACES Data Warehouse as of December 2012

	Total		Terminated Due to Means Testing of the Non-Parental Adult¹	
			Child Only Cases	
	# of Families Terminated	% of Total	# of Families Terminated	% of Total
OCT 2011				
NOV 2011	108	100.0%	108	100.0%
DEC 2011	14	100.0%	14	100.0%
JAN 2012	16	100.0%	16	100.0%
FEB 2012	16	100.0%	16	100.0%
MAR 2012	13	100.0%	13	100.0%
APR 2012	13	100.0%	13	100.0%

TANF Child-Only Cases Terminated Due to Means Testing of the Non-Parental Adult in FFY 2012				
Source: ESA-EMAPS Annual Report using the ACES Data Warehouse as of December 2012				
	Total		Terminated Due to Means Testing of the Non-Parental Adult ¹	
			Child Only Cases	
	# of Families Terminated	% of Total	# of Families Terminated	% of Total
MAY 2012	14	100.0%	14	100.0%
JUN 2012	10	100.0%	10	100.0%
JUL 2012	7	100.0%	7	100.0%
AUG 2012	9	100.0%	9	100.0%
SEP 2012	11	100.0%	11	100.0%
Mo. Avg.	21	100.0%	21	100.0%
Annual Cumulative	231	100.0%	231	100.0%
¹ The means testing of the non-parental child only case policy was implemented effective November 1, 2011. There were no cases terminated in October 2011 due to this policy.				

PART 1 –Eligibility Changes Made Since FY 2005

(Complete this section for EACH change)

1. Name of eligibility change: 60-Month Non-Recipient Parent Time Limit
2. Implementation date of eligibility change: 11/01/2011
3. Description of policy, including the change from prior policy: In accordance with *Engrossed Substitute Senate Bill (ESSB) 5921*, the department imposes the 60-month time limit on all parents of children who receive Child-Only TANF, provided this is “consistent with federal funding requirements and makes hardship extensions available to these parents effective September 1, 2011.
4. Description of the methodology used to calculate the estimated impact of this eligibility change (attach supporting materials to this form): Each month, a list of cases terminated is reviewed to determine if the case had at least a one-month break in service after the case was closed. This identified any cases that were reinstated. The result was a list of cases that closed due to 60-month time limit for non-recipient parent child-only cases and which were not reinstated the following month.
5. Estimated average monthly impact of this eligibility change on caseload in comparison year: -171

TANF Child-Only Cases Terminated Due to the Non-Recipient Parent Reaching the 60 Month Time Limit in FFY 2012				
Source: ESA-EMAPS Annual Report using the ACES Data Warehouse as of December 2012				
	Total		Terminated Due to the Non-Recipient Parent Reaching the 60 Month Time Limit ¹	
			Child Only Cases	
	# of Families Terminated	% of Total	# of Families Terminated	% of Total
OCT 2011				
NOV 2011	1,111	100.0%	1,111	100.0%
DEC 2011	319	100.0%	319	100.0%
JAN 2012	111	100.0%	111	100.0%
FEB 2012	58	100.0%	58	100.0%
MAR 2012	44	100.0%	44	100.0%
APR 2012	43	100.0%	43	100.0%
MAY 2012	56	100.0%	56	100.0%

TANF Child-Only Cases Terminated Due to the Non-Recipient Parent Reaching the 60 Month Time Limit in FFY 2012

Source: ESA-EMAPS Annual Report using the ACES Data Warehouse as of December 2012

	Total		Terminated Due to the Non-Recipient Parent Reaching the 60 Month Time Limit ¹	
			Child Only Cases	
	# of Families Terminated	% of Total	# of Families Terminated	% of Total
JUN 2012	35	100.0%	35	100.0%
JUL 2012	34	100.0%	34	100.0%
AUG 2012	38	100.0%	38	100.0%
SEP 2012	31	100.0%	31	100.0%
Mo. Avg.	171	100.0%	171	100.0%
Annual Cumulative	1,880	100.0%	1,880	100.0%

PART 1 –Eligibility Changes Made Since FY 2005

(Complete this section for EACH change)

1. Name of eligibility change: Permanent Disqualification Due to 3 or More Non-compliance Sanction (NCS) Terminations
2. Implementation date of eligibility change: 11/01/2011
3. Description of policy, including the change from prior policy: Permanent TANF/SFA disqualification for clients who have had 3 or more WorkFirst non-compliance sanction (NCS) terminations since March 1, 2007 when the NCS termination policy was implemented.
4. Description of the methodology used to calculate the estimated impact of this eligibility change (attach supporting materials to this form): Each month, a list of cases terminated is reviewed to determine if the case had at least a one-month break in service after the case was closed. This identified any cases that were reinstated. The result was a list of cases that closed due to having had 3 or more WorkFirst NCS terminations and which were not reinstated the following month.
5. Estimated average monthly impact of this eligibility change on caseload in comparison year: -2

TANF Adult Cases Permanently Disqualified Due to 3 or More NCS Terminations Since March 1, 2007 in FFY 2012

Source: ESA-EMAPS Annual Report using the ACES Data Warehouse as of December 2012

	Total		Permanent Disqualification Due to 3 or More Non-Compliance Sanction (NCS) Terminations ¹			
			One Adult Cases		Two Adult Cases	
	# of Families Terminated	% of Total	# of Families Terminated	% of Total	# of Families Terminated	% of Total
OCT 2011						
NOV 2011						
DEC 2011						
JAN 2012						
FEB 2012	1	100.0%	1	100.0%	0	0.0%
MAR 2012	0	0.0%	0	0.0%	0	0.0%
APR 2012	6	100.0%	6	100.0%	0	0.0%
MAY 2012	3	100.0%	2	66.7%	1	33.3%
JUN 2012	2	100.0%	1	50.0%	1	50.0%
JUL 2012	5	100.0%	4	80.0%	1	20.0%

TANF Adult Cases Permanently Disqualified Due to 3 or More NCS Terminations Since March 1, 2007 in FFY 2012

Source: ESA-EMAPS Annual Report using the ACES Data Warehouse as of December 2012

	Total		Permanent Disqualification Due to 3 or More Non-Compliance Sanction (NCS) Terminations ¹			
			One Adult Cases		Two Adult Cases	
	# of Families Terminated	% of Total	# of Families Terminated	% of Total	# of Families Terminated	% of Total
AUG 2012	1	100.0%	1	100.0%	0	0.0%
SEP 2012	1	100.0%	1	100.0%	0	0.0%
Mo. Avg.	2	100.0%	2	84.2%	0	15.8%
Annual Cumulative	19	100.0%	16	84.2%	3	15.8%

¹ The permanent disqualification policy was implemented effective November 1, 2011. There were no cases terminated between October 1 2011 and January 1, 2012 due to this policy.

Date of Completion December 20, 2012

State: Washington

Fiscal Year to which credit applies: 2013

PART 2 – Estimate of Caseload Reduction Credit

(Complete Part 2 using Excel Workbook provided.)

Pro-Rata Reduction for Excess MOE – Summary

Taking into account the pro rata reduction in the FY2012 caseload due to excess MOE spending, the average monthly TANF all-family caseload declined by 44.2% between FY 2005 and FY 2012. This caseload reduction number includes child-only cases, as instructed in ACF guidance. After adjusting for program change impacts (full-family sanctions, child-only means testing, 60-month non-recipient parent time limits, and permanent disqualification due to 3 or more non-compliance sanction terminations) the estimated caseload reduction credit is 37.5%.

Washington TANF All-Parent Caseload Data for FY 2005 and FY 2012	
FY 2005 monthly average caseload (Average TANF Caseload = 56,823 + Average SSP Caseload = 1,826)	58,649
FY 2012 monthly average caseload (Average TANF Caseload = 52,709 + Average SSP Caseload = 0)	52,709
FY 2012 monthly average caseload, adjusted for excess MOE spending	32,704
Actual Caseload decline, FY2005 to FY 2012	25,945
Adjusted Caseload decline, FY2005 to FY 2012 (Adjusted for all state policy changes = -3,948 average monthly closures)	21,997
Sources: TANF Data Reports (Section Three)	

Pro-Rata Reduction for Excess MOE – Process Defined

1. The State has not received confirmation of its FFY 2010 and FFY 2011 work participation rates so the relevant spending floor is 80 percent of the basic MOE amount.
2. The pro rata reduction takes into account the use of federal TANF funds spent on basic assistance. The pro rata reduction is calculated as the State excess MOE assistance expenditures divided by the average assistance cost per case, where cost is the sum of State and federal TANF funds spent on basic assistance.
3. The end result is a pro rata reduction of 20,005 MOE-funded assistance cases based upon the all-family caseload.
4. The excess MOE-funded assistance cases are then subtracted above from the actual FY 2012 monthly average caseload (52,709) to yield the adjusted FY2012 caseload of 32,704.
5. The result is a caseload decline of 25,945 from FY2005 to FY2012.
6. The average monthly number of cases terminated due to state policies (-3,948) is then added to the adjusted FY2012 caseload to arrive at a net caseload decline of 21,997.

Date of Completion <u>December 20, 2012</u>
State: <u>Washington</u> Fiscal Year to which credit applies: 2013

PART 3 -- Certification

I certify that we have provided the public an appropriate opportunity to comment on the estimates and methodology used to complete this report and considered those comments in completing it. Further, I certify that this report incorporates all reductions in the caseload resulting from State eligibility changes and changes in Federal requirements since Fiscal Year 2005.

(Signature)

David Stillman

(Name)

Assistant Secretary, Economic Services Administration

(Title)